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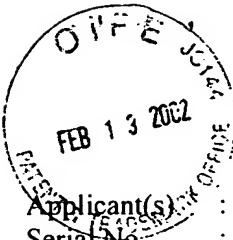
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ATTORNEY DOCKET NO. C1037/7025 (HCL/MAT)

03C0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Robert L. Bratzler
Serial No. : 10/017,995
Filing Date : December 14, 2001
For : INHIBITION OF ANGIOGENESIS BY NUCLEIC ACIDS
Art Unit : Not Yet Assigned

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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached addressed to Application Processing Division's Customer Correction Branch, Commissioner for Patents, Washington, D.C. 20231 on January 14, 2002.

Maria A. Trevisan, Reg. No. 48,207

Application Processing Division's
Customer Correction Branch
Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL

Sir:

Transmitted herewith are the following documents:

- ☒ Request to Correct Filing Date on Return Receipt PostCard
- ☒ Copy of the Returned USPTO Stamped Postcard
- ☒ Copy of the Express Mail Label carbon copy
- ☒ Copy of the Transmittal
- ☒ Copy of the first page of the Specification
- ☒ Copy of the Information Data Sheet
- ☒ Copy of Figure 1
- ☒ Copy of the first page of the hard copy Sequence Listing
- ☒ Copy of the Sequence Statement

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

If any fee is determined to be due by the Examiner, the Examiner is authorized to charge the appropriate fee to the account of the undersigned, Deposit Account No. 23/2825. A duplicate sheet is enclosed.

Respectfully submitted,

By:

Maria A. Trevisan, Reg. No. 48,207
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210
Telephone (617) 720-3500

Docket No. C1037/7025
Dated: January 14, 2002
xddd



ATTORNEY DOCKET NO. C1037/7025 (HCL/MAT)

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Maria A. Trevisan, Reg. No. 48,207

Application Processing Division's
Customer Correction Branch
Commissioner for Patents
Washington, D. C. 20231

REQUEST TO CORRECT FILING DATE ON RETURN RECEIPT POSTCARD

Dear Sir:

An error in the filing date has been noted on the return receipt postcard stamp (copy enclosed). Applicant requests that the Customer Correction Branch change the date of filing to **December 14, 2001**. To confirm the above-identified application was filed on **December 14, 2001**, Applicant encloses herewith:

- A copy of the Express Mail Label carbon copy (having Number EL844533552US), with the USPS stamp indicating a mailing date of December 14, 2001
- A copy of each of the transmittal, the first page of the specification, the information data sheet, Figure 1, the first page of the hard copy Sequence Listing, and the Sequence Statement, all showing the Express Mail Label Number of EL844533552US and a filing date of December 14, 2001.

Applicant respectfully requests the filing date be corrected to December 14, 2001, and that a filing receipt be issued to confirm such correction.

No fee is considered due for this Request, however, should the Branch require a fee, please charge such fee to Deposit Account No. 23/2825.

Respectfully submitted,



Maria A. Trevisan, Reg. No. 48,207
WOLF, GREENFIELD & SACKS, P.C.
600 Atlantic Avenue
Boston, Massachusetts 02210
Tel. No.: 617-720-3500

Attorney Docket Number: C1037/7025 (HCL/MAT)

Date: January 14, 2002

Xnnd



Serial No. Not Yet Assigned File No. C1037/7035 By: WJ/MAT/egs

Title: Inhibition of Angiogenesis By Nucleic Acids

Application of Robert L. Bretzler

WGS Date: 12/14/01

The U.S. PTO Mail Room acknowledges receipt of the following on the date stamped hereon:

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|---|--|
| <input checked="" type="checkbox"/> Mailing by Express Mail (37 CFR 1.10) | <input type="checkbox"/> Provisional Application Cover Sheet |
| <input checked="" type="checkbox"/> Express Mail Label No. <u>EL84453552 US</u> | <input type="checkbox"/> Multiple Dependent Claim Form Sheet |
| <input checked="" type="checkbox"/> Patent Application | <input type="checkbox"/> Inf. Discl. Statement, 1449 |
| <input checked="" type="checkbox"/> Non-provisional <input checked="" type="checkbox"/> Provisional | <input type="checkbox"/> References Cited |
| Incl. <u>69</u> pages, (<u>60</u> pgs) Specification, <u>74</u> | <input type="checkbox"/> Priority Document(s) |
| (<u>8</u> pgs) Abstract, (<u>8</u> pgs) Claims (<u>1</u> # claims) | <input type="checkbox"/> Copy of Notice to File |
| <input type="checkbox"/> Design Patent Application | <input type="checkbox"/> Amendment/Response |
| <input checked="" type="checkbox"/> Declaration(s) | <input type="checkbox"/> Petition for Ext. of Time (x2) |
| <input checked="" type="checkbox"/> Drawings <u>1</u> Sheet(s) (FIGS. <u>1</u>) | <input type="checkbox"/> Issue Fee Transmittal |
| <input type="checkbox"/> Formal <input checked="" type="checkbox"/> Informal | <input type="checkbox"/> Letter to Official Draftsperson |
| <input checked="" type="checkbox"/> Utility Patent Application Transmittal (X2) | <input type="checkbox"/> Notice of Appeal |
| <input type="checkbox"/> Fee calculation sheet (x2) | <input type="checkbox"/> Brief (x3) |
| <input type="checkbox"/> CPA Transmittal | <input checked="" type="checkbox"/> Check for \$ <u>5</u> Check # <u>5</u> |
| <input type="checkbox"/> Verified Statement claiming small entity status | <input type="checkbox"/> Transmittal Letter (x2) |
| <input type="checkbox"/> Assignment and Cover Sheet | <input checked="" type="checkbox"/> Cert. of Mailing under 37 CFR 1.8(a) |

☒ Other Sequence Listing 206 pages Sequence Statement under
37 CFR 1.821(f); Draft containing Sequence Listing

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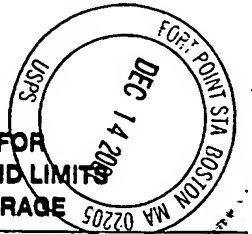


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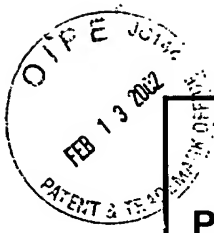
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| | | |
|---|--|--------------------|
| UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b)) | Attorney Docket No. | C1037/7025/HCL/MAT |
| | First Named Inventor or Application Identifier | |
| | Robert L. Bratzl r | |
| | Express Mail Label No. | EL844533552US |
| Date of Deposit | | December 14, 2001 |

| APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents</small> | ADDRESS TO: Box Patent Application Commissioner for Patents Washington, DC 20231 |
|---|---|
| <p>1. <input type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification -Total pages 69 60 - pages description 1 - pages abstract 8 - pages claims 74- Total claims</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) 1 - Total sheets <input checked="" type="checkbox"/> Informal <input type="checkbox"/> Formal 1 - Total drawings</p> <p>5. <input type="checkbox"/> Oath or Declaration - Total pages a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) (Note Box 6 below) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Incorporation by Reference (usable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. See 19.</p> <p>7. <input checked="" type="checkbox"/> Application Data Sheet, See 37 CFR 1.76</p> | <p>8. <input type="checkbox"/> CD-ROM or CD-R, in duplicate, large table or Computer Program (Appendix)</p> <p>9. <input checked="" type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input checked="" type="checkbox"/> Computer Readable Form (CRF) b. <input checked="" type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input checked="" type="checkbox"/> paper (identical to computer copy) c. <input checked="" type="checkbox"/> Statement verifying identity of above copies</p> <p>ACCOMPANYING APPLICATION PARTS</p> <p>10. <input type="checkbox"/> Assignment Papers/cover sheet & documents(s)</p> <p>11. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney</p> <p>12. <input type="checkbox"/> English Translation of Document (if applicable)</p> <p>13. <input type="checkbox"/> Information Disclosure Statement/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>14. <input type="checkbox"/> Preliminary Amendment</p> <p>15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>16. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>17. <input type="checkbox"/> Request and Certification Under 35 U.S.C. §122(b)(2)(B)(ii)</p> <p>18. <input checked="" type="checkbox"/> Other: This application claims priority under 35 U.S.C. § 119(c) from U.S. Provisional Patent Application Serial No. 60/255,534 filed on December 14, 2000, entitled INHIBITION OF ANGIOGENESIS BY NUCLEIC ACIDS. The entire contents of the provisional application are hereby expressly incorporated by reference.</p> |

19. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in the body of the application, or a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____, filed on _____, entitled _____ and now _____.

☐ Cancel in this application claims _____ before calculating the filing fee.

☐ Amend the specification by inserting before the first line of the specification on page 1, after the title, the following:

--This application is a ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____, filed on _____, entitled _____ and now _____--

Prior application information: Examiner _____ Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

20. CORRESPONDENCE ADDRESS

Correspondence address below

CUSTOMER NUMBER:



23628

OR

| | | | | | |
|---|------------------------------------|-----------|----------------|-----|----------------|
| AGENT'S NAME | Maria A. Trevisan | | | | |
| FIRM NAME | Wolf, Greenfield & Sacks, P.C. | | | | |
| ADDRESS | 600 Atlantic Avenue | | | | |
| CITY | Boston | STATE | MA | ZIP | 02210 |
| COUNTRY | USA | TELEPHONE | (617) 720-3500 | FAX | (617) 720-2441 |
| 20. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED | | | | | |
| NAME | Maria A. Trevisan, Reg. No. 48,207 | | | | |
| SIGNATURE | | | | | |
| DATE | December 14, 2001 | | | | |